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Attorney Docket No.
DX0903K1

CN 028008



Date: May 29, 2002 By: Lois E. Miller

Lois E. Miller

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

J Fernando BAZAN, et al

Serial No.: 09/963,347

Filed: September 25, 2001

For: MAMMALIAN CYTOKINES; RELATED REAGENTS AND METHODS

Examiner: TO BE ASSIGNED

Art Unit: 1646

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Palo Alto, California 94304

May 19, 2002

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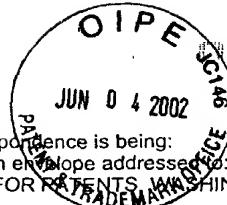
In response to the "Notice to Comply" with sequence listing rules dated May 1, 2002, enclosed please find a replacement diskette. It is understood that the diskette mailed with the sequence submission on December 18, 2001, was found to be damaged. Also enclosed for the examiner's convenience is a complete copy of our sequence submission as mailed on December 18, 2001, including a copy of the return postcard stamped received by the USPTO's Office of Initial Patent Examination (OIPE) on January 28, 2002.

Respectfully submitted,

By: Sheela Mohan-Peterson
Sheela Mohan-Peterson
Attorney for Applicants
Reg. No. 41,201

25 Date: May 29, 2002

30 DNAX Research Institute
901 California Avenue
Palo Alto, California 94304-1104
Main: (650) 496-6400
Direct: (650) 496-1244
35 Fax: (650) 496-1200



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Date: Dec. 18, 2001 By: Lois E. Miller

Lois E. Miller

PATENT

Attorney Docket No.
DX0903K1

CN 028008

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

J. Fernando BAZAN, et al.

Serial No.: 09/963,347

Filed: September 25, 2001

For: MAMMALIAN CYTOKINES;
RELATED REAGENTS AND
METHODS

Examiner: not yet assigned

Art Unit: not yet assigned

**COMPUTER READABLE
SEQUENCE SUBMISSION**

Palo Alto, California 94304

December 17, 2001

5

BOX Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

10 Sir:

**COMPLIANCE WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

15 In reply to receipt of a "Notice to Comply" with requirements for patent applications containing nucleotide sequence and/or amino acid sequence disclosures dated October 26, 2001, for the above-identified application, in accordance with 37 CFR § 1.821 - 1.825, Applicants hereby submit: (1) a write-protected diskette containing a computer-readable submission for the "Sequence Listing"; and (2) a "Sequence Listing" paper copy of the contents of the diskette.

REMARKS

25 Enclosed is a write protected floppy diskette with the sequence listing generated by the Patent Office's PATENTIN program. The Diskette should comply with the requirements of 37 CFR §1.824 and is IBM PC compatible with a PC-DOS/MS-DOS operating system. If the diskette has been damaged, please call

Applicants and a replacement diskette will be provided. A hard paper copy printout of the diskette is attached thereto.

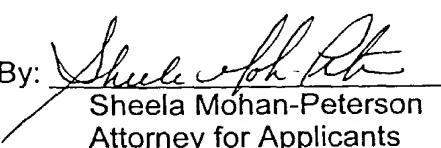
I hereby state the informational contents of the paper and computer readable 5 copies of the Sequence Listing, submitted in accordance with 37 CFR 1.821(c) and (e), respectively, are believed to be the same. This submission introduces no new matter since enclosed sequences are the same as sequences which were submitted in priority documents.

10 Applicants have invested significant labor and care in preparing the present submission. The enclosed items are a bona fide effort to bring the present application into full compliance with the rules for sequence submissions. Should this not be the case, Applicants respectfully request notification of specific deficiencies and an opportunity for remedy, as described in 37 CFR 1.135(c).

15 Applicants believe that no fees are required; however, if any fees are required by the present Response, the Commissioner is authorized to charge any fees or credit any overpayment to DNAX Research Institute Deposit Account No. 04-1239.

20 Respectfully submitted,

Date: December 17, 2001

By: 
Sheela Mohan-Peterson
Attorney for Applicants
Reg. No. 41,201

25 enclosures and attachments:
one write-protected diskette (CRM)
30 paper copy of contents of diskette

35 DNAX Research Institute
901 California Avenue
Palo Alto, California 94304-1104
Main: (650) 496-6400
Direct: (650) 496-1244
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40

BAZAN, et al.
USSN 09/963,347 filed September 25, 2001

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Atty. Docket No: DX0903K1
Date Mailed: December 18, 2001
Inventor(s): J. Fernando BAZAN
Serial No.: 09/963,347
Filed: September 25, 2001
Title: MAMMALIAN CYTOKINES; RELATED REAGENTS AND METHODS

Enclosed:

1. Response to NTFMP (2 pages, in duplicate), Declaration & Power of Attorney (3 pages), and Copy of Notice to File Missing Parts (2 pages);
2. Response to "Notice to Comply" (2 pages) with Diskette and paper print-out (9 pages);
3. Preliminary Amendment (7 pages);
4. Submission of Formal Drawings (1 page) with 26 Sheets of Formal Drawings; and,
5. Return Postcard.



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Attention Examiner _____

Date Dec. 18, 2001 By: Lois E. Miller
Lois E. Miller

PATENT

Attorney Docket No.
DX0903K1

CN 028008

ANTI-
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

J. Fernando BAZAN, et al.

Serial No.: 09/963,347

Filed: September 25, 2001

For: MAMMALIAN CYTOKINES;
RELATED REAGENTS AND
METHODS

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Examiner: not yet assigned

Art Unit: not yet assigned

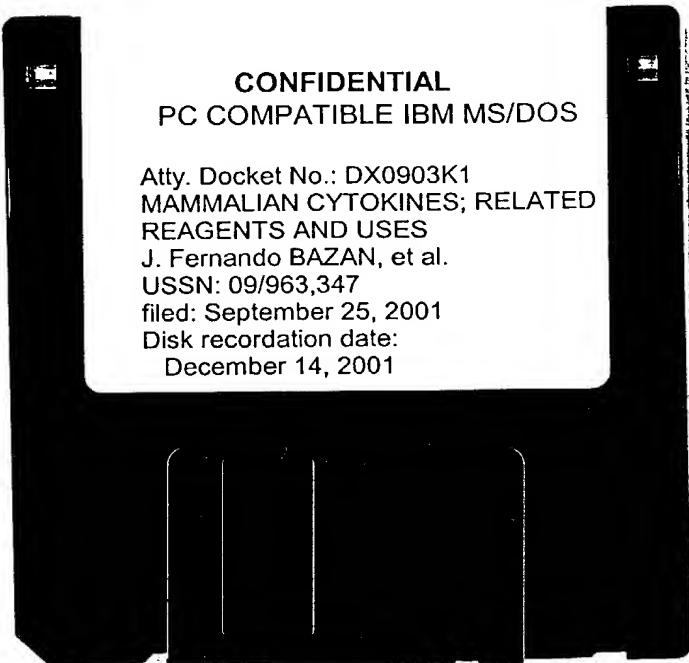
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Palo Alto, California 94304

December 17, 2001

CONFIDENTIAL
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Atty. Docket No.: DX0903K1
MAMMALIAN CYTOKINES; RELATED
REAGENTS AND USES
J. Fernando BAZAN, et al.
USSN: 09/963,347
filed: September 25, 2001
Disk recordation date:
December 14, 2001





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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/963,347	09/25/2001	J. Fernando Bazan	DX0903K1

028008
 DNAX RESEARCH INSTITUTE
 LEGAL DEPARTMENT
 901 CALIFORNIA AVENUE
 PALO ALTO, CA 94304



CONFIRMATION NO. 9754
 FORMALITIES LETTER



OC000000007994930

Date Mailed: 05/01/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS
 CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE
 DISCLOSURES**

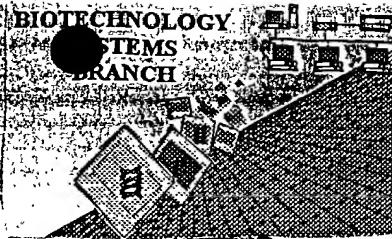
Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



CRF Problem Report

The Scientific and Technical Information Center (STIC) experienced a problem when processing the following computer readable form (CRF):

Application Serial Number: 09/963,347
Filing Date: 9/25/2001
Date Processed by STIC: 2/12/2002

STIC Contact: Mark Spencer, 703-308-4212



Nature of Problem:

The CRF (was):

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 Sequence Listing was embedded in the file. According to Sequence Rules, submitted file should only be the Sequence Listing.
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Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

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1. EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
3. Hand Carry directly to:
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4. Federal Express, United Parcel Service , or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002